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Interim Report

ORSER-SSEL Technical Report 19-73

PROCESSING OF REMOTE SENSING DATA

G. J. McMurtry, S. J. Chung, and N. B. Bolling

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INTERDISCIPLINARY APPLICATION AND INTERPRETATION OF ERTS DATA WITHIN THE SUSQUEHANNA RIVER BASIN

Resource Inventory, Land Use, and Pollution

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Through experience with ERTS-1 and aircraft data, ORSER has developed an effectively operational system for processing both imagery and computer compatible tapes. This system is described below.

Processing Imagery

Photointerpretive equipment, study facilities and imagery storage closets are located in the ORSER Laboratory, in Room 218 of the Electrical Engineering West building. Three light tables (including one with high intensity lights) are provided for the study of transparencies and films of various sizes, either as rolls or as individual frames. Film roll holders on one of the tables permit simultaneous viewing of two film strips, each up to 9 inches wide.

A Bausch & Lomb Zoom 70 Stereoscope is available for use with 70 mm Cl30 and U2 film or for detailed study of ERTS-1 images. Single images may be viewed at enlargements from 10 to 120% using the single lens attachment. When this attachment is replaced by the rhomboid assembly, image pairs in the 70 mm format may be viewed stereographically. The zoom feature of this unit permits viewing at any scale from 10 to 30% with no adjustment of stereo fusion necessary during the continuous change in scale. The Zoom Stereoscope may be used on its small light table base, with two 70 mm film reel holders attached, or it may be used on a bracket above the high-intensity light table. In this latter arrangement, the stereoscope can be moved at will across two 70 mm film strips mounted simultaneously on the light table, and along their length for a distance of 24 inches.

An Old Delft Stereoscope and two mirror stereoscopes (one with a binocular attachment) are provided for the study of 9 X 9 inch stereo

pairs. Two of these stereoscopes permit viewing at two scales: 1.5X and 4X for the Old Delft, and 1X and 6X for the mirror stereoscope. Projection equipment is also available in the laboratory, including a 35 mm slide projector and a Visucom high intensity overhead projector.

A Bausch & Lomb Zoom Transfer scope has just been received. This instrument permits projection of opaque or transparent images onto a plain surface or another image, with the capability of magnification in any direction, or selectively in a single direction, from 1 to 14X. With this instrument a photograph can be projected onto a computergenerated character map, with adjustment for the line and element distortion inherent in the high speed printer output.

In addition to the ORSER equipment listed above, a completely equipped photogrammetric and photointerpretation laboratory in the Department of Civil Engineering is available for use by ORSER personnel. Particular pieces of equipment found to be useful in this laboratory include a Kelsh plotter and an American Optical Delineascope which has been used to project 70 mm U2 imagery. An Itek reader-printer for 35 and 70 mm film and a Saltzman Projector for aerial photography are available in the Department of Geology. Various types of equipment for collecting ground truth and producing other related information exist in the several departments of the co-investigators and are available to ORSER.

For image reproduction, The Pennsylvania State University is fortunate to have a wide variety of photographic and reproduction facilities available. Still Photography Services, of the Division of Instructional Services, is staffed and equipped to provide professional, high quality, products meeting all research and publication requirements. Enlargements, contact prints, and slides in color as well as black and white are readily obtained. Complete Diazo and Ozalid facilities are also available on campus.

Processing Digital Data

The ORSER system for processing MSS digital tapes was developed for use by a wide variety of researchers working in remote sensing at The Pennsylvania State University. These potential users represent many disciplines and have a wide range of experience and skill in computer usage.

Computer Processing Facilities

Automatic data processing equipment utilized by ORSER personnel is primarily located at The Pennsylvania State University Computation
Center. The principal computer is the IBM System 370 Model 165, consisting of a main frame and attached devices for input and on-line storage. A Remote Job Entry (RJE) system is available and is the most common method used by ORSER to process remote sensing data. The RJE system permits use of IBM 370/165 from any of several remote terminals. Any compatible terminal may be used to process data via the RJE system, including equipment at non-University Park locations tied in by long-distance telephone connections. An IBM 360/50 is also available as well as an IBM 1401 computer. Display equipment at the Computation Center includes an AGT-30 ADAGE graphics terminal and computer, and a CalComp 564 plotter. Extensive analog and hybrid processing equipment, as well as other digital systems, are also available on campus.

The IBM 370/165 is dedicated to general University research and educational uses as well as to similar non-University uses. Users may have access to the computer in any of three ways: (1) central and remote high speed dispatch points operated by the Computation Center, (2) slow speed RJE terminals using IBM 2741 or similar terminals supported by the user or by the Computation Center, and (3) intermediate speed remote batch terminals, such as the IBM 2780, supported by the user or the Computation Center. The processing system for MSS data

¹This system is described by Borden in: "A Digital Processing and Analysis System for Multispectral Scanner and Similar Data," Remote Sensing of Earth Resources, (F. Sharokhia, ed.), Vol. 1, University of Tennessee Space Institute, Tulahoma, Tennessee.

was developed for use with any of these entry points. ORSER investigators have direct access to the RJE terminals and one has been ordered for the ORSER laboratory. These terminals are used for most developmental work. Bulk output for final runs is directed from an RJE terminal to any of the high speed terminal sites. No program card decks need to be input, as the MSS data processing programs are kept in library files. Files for building control information or for storing output are available to the user. MSS data is input from magnetic tapes which, along with user-owned working tapes, are managed by the Computation Center. Non-University users as well as University users may join the system, either locally or via long distance telephone lines.

A standard digital tape format was designed within which all known MSS sources can conveniently be placed. More than one file per tape is allowed as well as a continuation of a file to another tape. Within the file, four kinds of records exist: (1) identification records, (2) table of contents records, (3) MSS response records, and (4) history records. Each MSS response record consists of a complete scan line. Each scan line is numbered and scan lines are always in ascending order in a file. A working file will usually contain one or more small parts of the whole data set. The table of contents is particularly useful in such cases in avoiding costly searching for data which are not present in the file.

The system is couched in a multivariate framework. Although it is understood that some operations do not require this statistical basis, this approach is, overall, most appropriate. Each observation, identifiable by scan line and element number, consists of a vector with as many components as there are channels. At present, each vector is composed of just MSS response values. It is anticipated, however, that the vectors will be augmented by transformed scanner data; or by additional, nonscanner, data such as topographic information.

The system is not in a conversational mode, where the user and the system dynamically interact during processing. Each program accepts input control specifications, processes the MSS data according to the specifications, and outputs the results. The user prepares the control

specifications for each program. Although the system is non-conversational, the preparation of the control specifications by a user operating from an RJE terminal is conversational. For non-RJE operation, control specifications are made and entered into the system by punched cards. All control specifications on the RJE are identical in format to the corresponding punched cards.

The Digital Data Processing System

The programs discussed here are all operational and are documented at the user's level. Although many other programs are used, those discussed here illustrate the general approach to the processing of MSS tapes. Detailed descriptions of ORSER programs currently available may be found in ORSER/SSEL Technical Report 10-73.

The digital tape processing system for MSS data described here is regularly run for production and has been extended to meet the needs of various related projects. The system was designed to be easily augmented, typified by the addition of a number of supervised and unsupervised analysis and classification algorithms. The general procedure to be employed for a previously unstudied area or type of target will be presented and illustrated here. The procedure to be employed for areas or targets which have been previously investigated differs slightly from that shown here and is less complex.

The first step is to select the particular targets and areas of interest, primarily using maps. Consultation of the catalogues of imagery and digital tapes will indicate what data are available and their quality. Tapes corresponding to the selected scenes are chosen and the areas of useful data are specified. Subsets are then produced on separate tapes, using the SUBSET program¹. These subsets are prepared to gain rapid processing and short turn around time. It is likely that this step has already been done in the process of cataloguing and storing ERTS tapes by ORSER, in which case the appropriate library subset tapes would be selected directly.

¹Complete program descriptions may be found in ORSER-SSEL Technical Report 10-73.

A run is then made with the NMAP program to show the overall pattern of the data. This program is written to map element brightness, using all channels or any subset of channels. The norm of each multivariate vector is taken as the measure of brightness. The norm is then converted to a percentage of the maximum possible value. This value is translated to the mapping symbol for the percentage range within which it falls. The process is repeated for every element in every scan line in the data blocks specified by the user. Output from the NMAP program consists, then, of a brightness map.

The UMAP program is run next, to map areas of local spectral uniformity. Each element is compared with its near neighbors using the euclidean distance between spectral signatures as the measure of simularity or dissimularity. If the largest distance is smaller than a value specified by the user, then the symbol for uniformity is assigned to that element. One or more categories of uniformity can be mapped according to distances specified by the user. All elements with distances from their neighbors greater than those specified are mapped as contrasts. The map output shows the pattern of uniformity and contrasts from which the user can designate coordinates for training areas for the targets of interest and determine high contrast boundaries between uniform areas.

Signatures and associated statistics are next obtained by the use of the STATS program, which computes the multivariate statistics for one or more training areas obtained from UMAP or similar output. The user designates a training area by line and element coordinates and the program computes the statistics for all of the data which fall within the boundaries. The mean and standard deviation vectors are found, and the correlation and variance—covariance matrices are computed as well as the eigenvalues and eigenvectors of these matrices. Frequency histograms for selected channels are also computed.

When most of the targets have been identified by training areas, a classification run is made using the classifier or classifiers deemed most appropriate for the mix of targets under consideration. A variety of classification programs are available, including parametric and

non-parametric classifiers with either linear or quadratic discriminant functions. Preprocessing before classification is also possible, using programs for normalization, principal components, etc. The output of these programs is in the form of a character (or digital) map, with each category of classification represented by a unique symbol.

Digital maps are useful primarily as working maps for the user in the analysis of MSS data. They are inherently distorted in the length-to-width relationship because of the fixed number of lines and characters per inch of high-speed printer output. The LMAP program, yielding output on the CalComp plotter, is intended for the production of distortion-free, finished copy, line maps. There are three main advantages to line maps when compared to character maps: (1) orthographic maps to a selected scale can be made, (2) photographic overlays can be prepared for these maps (this is quite important in the comparison of classification results with corresponding imagery), and (3) legible maps for publication purposes can be prepared.

An example of the use of the programs described above is given in Figures 1 through 11. The MSS data used for this analysis came from ERTS-1 scene 1028-15295, scanned on August 20, 1973. This is an area northeast of Clearfield, Pennsylvania, on which U.S. Route 80 and the West Branch of the Susquehanna River cross. The location of the test site is shown in Figure 1, which was taken from two 7 1/2 minute USGS quadrangle maps. As these maps were printed in 1959, before Route 80 was constructed, the highway has been drawn in on the figure. Figure 2 and 3 show map output for NMAP and UMAP, respectively. The strip of low brightness in Figure 2 follows the river, as does the blank (non-uniform) area shown in Figure 3. Basic statistics for the "stripmine" category, obtained by the STATS program are shown in Figure 4. Statistics from a series of such sample sites are input to a classification program. Figure 5 shows the output from the DCLASS, program which classifies according to a minimum euclidean distance algorithm. Only two categories are represented by symbols; unclassified elements are left blank. LMAP output using data from the DCLASS program is shown in Figure 6.

It frequently happens that a sample target is not of sufficient size or area to lend itself to categorization using the STATS program.

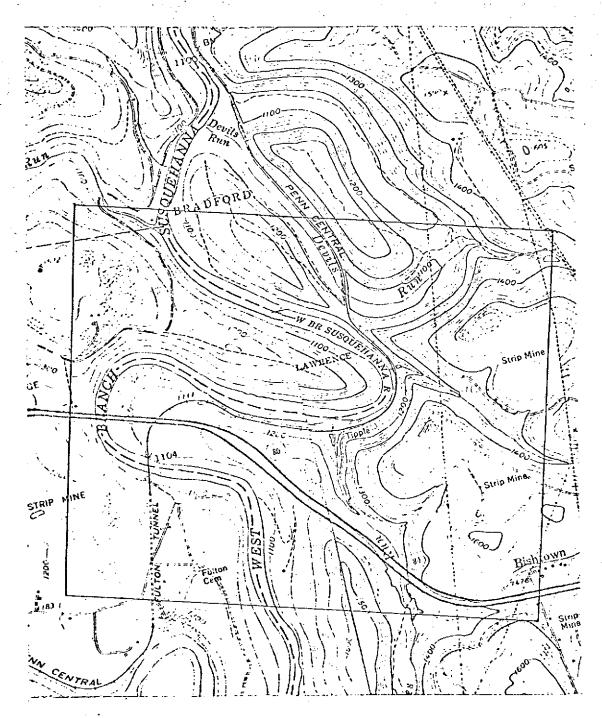


Figure 1: Test site northeast of Clearfield, Pennsylvania. (Taken from USGS 7 1/2 minute quadrangle maps, "Clearfield" and "Lecontes Mills," both printed in 1959.)

BEGINNING LINE 1030
ENDING LINE 1059
BEGINNING ELEMENT 2470
ENDING ELEMENT 2525
LINE INCREMENT 1
ELEMENT INCREMENT 1

```
2470|2475|2480|2485|2490|2495|2500|2505|2510|2515|2520|2525|
  -XXXXXXXXXX----XXXXXXXX----XXXXXXXXX
1034 |XXXXXXX---XXXXXXX----
       --XXXXXX- --X----XX---XXI
1042 | XXXXXX -- XXXXXX -- XXXX
        ---XXX-
           --XXXXXXXXXXXXXX-I
1043 |XXXXXX--XXXXXXXXXXXXXXX-----
         - -----XXXXXXXXXXXXXXXXXXX
1046 | XXXXXXXXX -
     1049 |-----XXXXXXXXXXXXXXXX
       -XXXXXX-XXXXXXX--XXXXXXXXXXXXXXXXX
1057 |XXXXXXX-XXXXXXXXXXXXXXXXXX
        XXX---XXXXXXXXXXXXXXXXXXXXXXXXX
1 1
      1 1
2470 | 2475 | 2480 | 2485 | 2490 | 2495 | 2500 | 2505 | 2510 | 2515 | 2520 | 2525 |
CLASS
  : 14.0
     CLASS - : 20.0
          CLASS X: 100.0
```

Figure 2: Brightness map (NMAP).

BEGINNING LINE 1030
ENDING LINE 1059
BEGINNING ELEMENT 2470
ENDING ELEMENT 2525
LINE INCREMENT 1
ELEMENT INCREMENT 1

```
2470|2475|2480|2485|2490|2495|2500|2505|2510|2515|2520|2525|
                                    1032
        100000--0--0000
                                           | UUUUUU--UUU-UUUUUUU- --
1033
                                             -00000 0 -0000000000-
1034
       1035
1036
       1037
       | UUUU--U
1038
                              1--00-0 -
1039
       ~-- UU-UUUUUUUU U-
1041
                                              * - -UU- UUUUUUUUUUUU--UUI
1042
       |- -- UUUUUU-----UU-
                                                -UU --
                                                             U -UUU- U-UUUUUU-UUI
1043 | 106600 0-000----00--00006-- -0--0000--00 -0600000001
1044
                       -UUUUU-UUUU-U-U-U-U-U-UUUU-UUUU-UUUU-
       TUUUUU
                                                                               -000000-1
                      1045
       1046
       U- -- UUUUUU - UUU - UUU - UUU - UUU - UUU - UUU - - - j
1047
       1048
       |- ----U UUUUUUUUUU-
                                           1049 | ՄԱՄԱՄՄ - ՄԱՄԱՄՄԱՄԱՄՄ Մ ԾՄՄ - - Մ - ՄԱՄԱՄՄՄՄՄՄ - - Մ - ՄՄ - - - |
1051
       | լուրո-որութ - ուրութ - ուրու
1052
       1053
1054
       | ՄԱՄԱ--ՄԱՄ-ՄԱՄ-ՄԱՄ-ՄԱՄԱՄԱՄԱՄԱՄԱՄԱՄ--ՄՄ-ՄԱՄԱ--ՄԱՄ-ՄԱՄԱՄ|
1055
       1056 | U- --UUUUUUU--UUUUUU-
                                                 լ ՍԱ--ՍՍՍԱՍՍՍՍՍ---ՍՍՍՍՍՍՍՍՍՍՍՍ
       1057
       | Մանսարանը | -- Արևութագրի - - Մանսարանը և Մանսարանը և Մանսար |
1059
       2470|2475|2480|2485|2490|2495|2500|2505|2510|2515|2520|2525|
```

CLASS U: 5.0 CLASS : 15.0 CLASS : 100.0

Figure 3: Uniformity map (UMAP).

```
CHANNELS USED: 1 2 3 4
```

MEANS AND STANDARD DEVIATIONS FOR GIVEN CHANNELS

29.55 26.86 30.78 13.83 3.29 4.80 5.21 3.79

VARIANCE-COVARIANCE MATRIX

10.82 14.91 23.09

0.78 0.23 27.16

-3.27 -5.68 18.00 14.33

CORRELATION MATRIX FOR GIVEN CHANNELS

1.00

0.94 1.00

0.05 0.01 -0.26 -0.31 1.00 0.91 1.00

EIGENVALUES COMPUTED FROM CORRELATION MATRIX.

EIGENVALUES WITH THEIR ASSOCIATED PERCENTAGES:

2.21 1.70 0.06 0.03 55.4 42.4 1.4 0.8

EIGENVECTORS:

0.5227 -0.4645 -0.7059 -0.1129

0.5416 -0.4368 0.6347 0.3362

-0.3800 -0.6257 0.2327 -0.6402

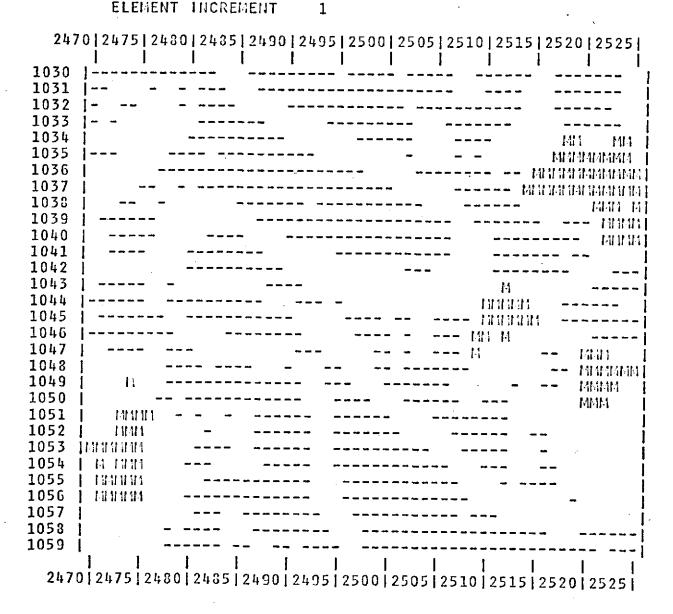
-0.5376 -0.4493 -0.2115 0.6814

DET.= 0.705D-02

SAMPLE CATEGORY : STRIPMINE

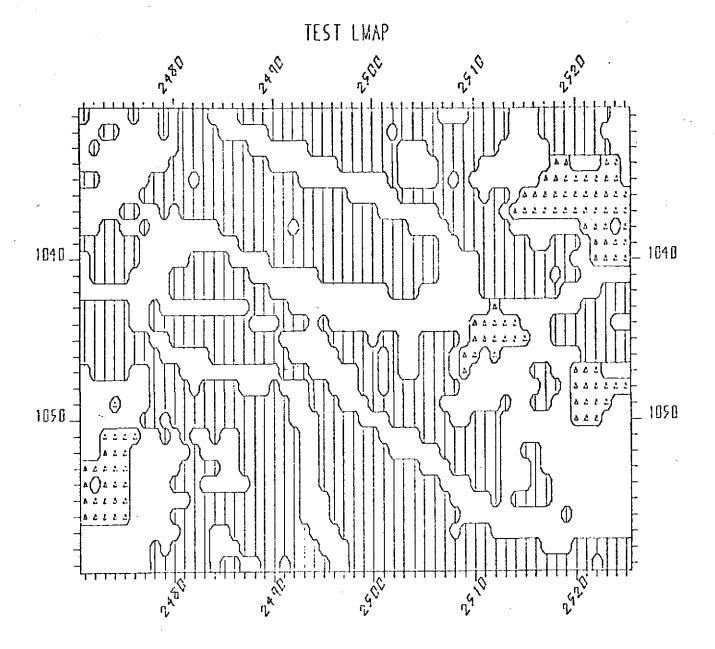
Figure 4: Statistics of sample areas for a category obtained by STATS.

BEGINNING LINE 1030
ENDING LINE 1059
BEGINNING ELEMENT 2470
ENDING ELEMENT 2525
LINE INCREMENT 1



SYMBOL M: STRIPMINE SYMBOL -: VEGETATION

Figure 5: Classification map using signatures obtained by STATS.



Δ: STRIPMINE |: VEGETATION BLANK: Other

Figure 6: Preliminary classification map of the Clearfield area (LMAP).

Such targets may be linear features such as streams, or a series of small scattered features which are not large enough to be represented as uniform areas by UMAP. In such cases, these areas are defined for analysis by an unsupervised classifier which develops its own set of spectral signatures and statistics using a clustering algorithm. The map output of one such program, DCLUS, is shown in Figure 7. The accompanying statistics are shown in Figure 8, and the input data for DCLASS for the categories to be classified are shown in Figure 9. A comparison of Figure 7, with the DCLASS output of Figure 5 reveals that DCLUS was able to map some features which could not be mapped by DCLASS with STATS signatures. Map output from DCLASS, using DCLUS signatures, is shown in Figure 10. Figure 11 shows this same classification in LMAP form.

The approach employed for change detection or where a temporal dimension is involved is similar to the approach for non-temporal analyses in many respects. The major difference is in the establishment of permanent training areas for supervised analysis and classification and permanent analysis areas for unsupervised analysis and classification. These areas must be selected and specified more carefully and with more refinement than when the temporal dimension is not of interest.

BEGINNING LINE 1030
ENDING LINE 1059
BEGINNING ELEMENT 2470
ENDING ELEMENT 2525
LINE INCREMENT 1
ELEMENT INCREMENT 1

```
2470|2475|2480|2485|2490|2495|2500|2505|2510|2515|2520|2525|
                 1 1
1031 |--X++X-..XXX--.**-----XXXXX-----XXXXXXX-...---++|
1032 | XXXXXX+..-XX---.* *.------XXXX----XXXX----XXXX
   [-XXXXXX....-XX----.* *.------**.-----X]
1033
1036 | .+.
       1037 | ....-XXXXXX------X------.**...----+=============
   |-..-XX-*****.----XXXXX-----.**.---++...=====|
           SSSS+=*----XXXXXX------XX---.====|
1039 | X----X.
1040 |XXX----* S++----.**.---X-XX--X-----.*..-----=====|
1041 |XX---X*=+.----.* **..----X-X---**..----XX-++++.|
1042 | MM++++*.+----.*
                       *.... XXXXX---|
1043 | ----*.-+++++++++----...*** *****....== XXXXXXXX----|
1044 |--XXX-**-----+++-----|
1045 |+----**-----+++-+-XX-X--..---.=====+XX------|
1046 |----X---* **.-----+++++---XX-..---.==MM+X++....---|
1047 |XX---- .--..******..--++++-XX-.--+=MM+XXX--.====...|
1048 |++++++= ---.--+++=**.--+ +--XX----+++XXXXX--.======|
1049 |======X------**---++-X----XXXXXXXXXXXX-++==MMMM|
1050 | *= ==-XX--XXX----XX-.*.---++XX---XXXX--..======= $ $!
1051 |=MM======XXXXXXXXXX----..*----- M++---X---.=.++++ M SXX|
1052 | MMMMM=== .XXXXXXXX----X**----+ +++----..--XXXX+++M+|
1053 |MM===== =.XX--XX+-----**----+++---- = -XXXX ++ +|
1054 | MANAMM = ===-X-++X++---X-* -----X+++---+=+-XXX ++++|
1055 |M===== ==.XX-----XX--* X--X----X-X+++.----XX++X SXX|
1058 | ++++++S+-XX---+M+----X---.*.-----X-----X-----..
1059 |+++++SSX-----+--=--XX----**-----XXX------
           1 1
                            2470|2475|2480|2485|2490|2495|2500|2505|2510|2515|2520|2525|
```

CHANNELS USED : 1 2 3 4 INITIAL CRITICAL DISTANCE : 8.5

Figure 7: Signature map by DCLUS.

UN-NORMALIZED CATEGORY SPECIFICATIONS

```
CHANNELS-
          19.74
                  12.03
                          41.97
                                  26.84
          29.33
                  26.80
                          28.50
                                  12.22
   ÷
          26.85
                  22.80
                          41.38
                                  22.61
   X
          21.84
                  14.02
                          49.40
                                  31.55
5
          20.37
                  14.79
                          21.24
                                  9.87
6
          20.41
                  14.24
                          30.24
                                  17.24
7
   М
          35.67
                  37.00
                          36.67
                                  15.00
   S
          32.50
                  32.00
                          49.50
                                  26.50
```

DISTANCES OF SEPARATION FOR CATEGORIES

```
2 =
                      3 +
                             4 X
                                    5 *
                                                  7 M
                                                         8 S
        0.0
              26.6
                     13.6
                             9.3
                                          15.3
                                   26.9
                                                 32.3
                                                        24.9
2
      26.6
              0.0
                            32.1
                     17.2
                                   16.8
                                          16.3
                                                 14.8
                                                        26.1
3
      13.6
              17.2
                      0.0
                            15.7
                                   26.0
                                          16.4
                                                 19.0
                                                        14.1
4
                            0.0
   X
        9.3
              32.1
                     15.7
                                   35.6
                                          24.0
                                                 34.0
                                                        21.5
5
      26.9
              16.8
                     26.0
                            35.6
                                          11.7
                                   0.0
                                                 31.5
                                                        39.0
      15.3
              16.3
                     16.4
                            24.0
                                   11.7
                                           0.0
                                                 28.2
                                                        30.3
7
   11
      32.3
              14.8
                            34.0
                                   31.5
                     19.0
                                          28.2
                                                  0.0
                                                        18.2
      24.9
              26.1
                     14.1
                            21.5
                                   39.0
                                          30.3
                                                 18.2
                                                         0.0
```

CHANNELS USED: 1 2 3 4
INITIAL CRITICAL DISTANCE: 8.5
SAMPLE SIZE: 500

Figure 8: Statistics obtained by DCLUS.

						•		
CHAII	NELS	USED:	1	2	3	4		
CATE	GORY	NAME	NUM	BER	S'	YMBOL	LIM	T
STR PAV RIV VEG VEG	I PMIN I PMIN EMENT ER ETATI ETATI	NE 2 F ON 1 ON 2	: : : : :	1 2 3 4 5		M M > R	8.5 8.5 8.5 8.5 8.5 8.5	
UN-110	ORMAL	.1ZED	CATE	ORY	SF	PECIF	I CATIO	1S
1 M			37. 26. 22. 14. 12.	00 80 79 03	28 41 21 41	.38 .24 .97	12.22 22.61 9.87 26.34 31.55	

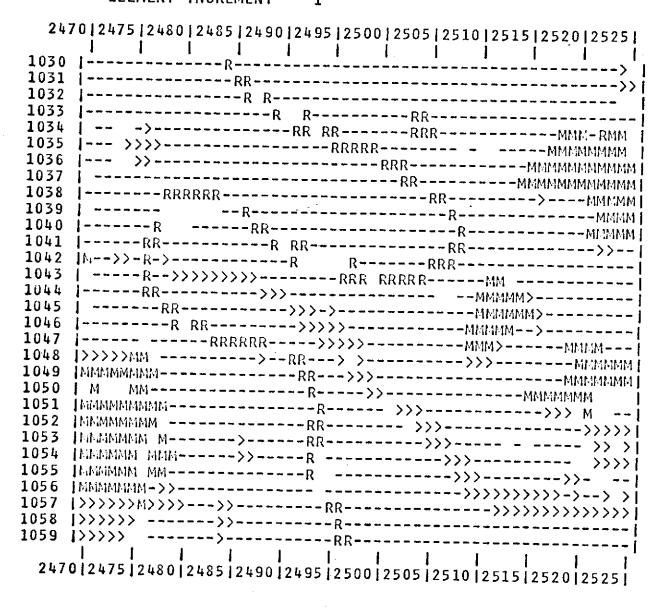
1 14 2 14 3 > 4 R 5 -ឞ. -7 -1 0.0 14.3 32.3 28.2 II19.0 31.5 34.0 2 3 4 0.0 14.8 17.2 16.8 26.6 32.1 16.3 > 19.0 17.2 0.0 26.0 13.G 15.7 16.4 31.5 16.3 0.0 26.0 35.6 26.9 11.6

DISTANCES OF SEPARATION FOR CATEGORIES

32.3 26.6 26.9 13.6 0.0 9.3 15.3 34.0 32.1 15.7 35.6 9.3 0.0 24.0 28.2 16.3 16.4 11.6 15.3 24.0

Figure 9: Category specifications and separations for DCLASS.

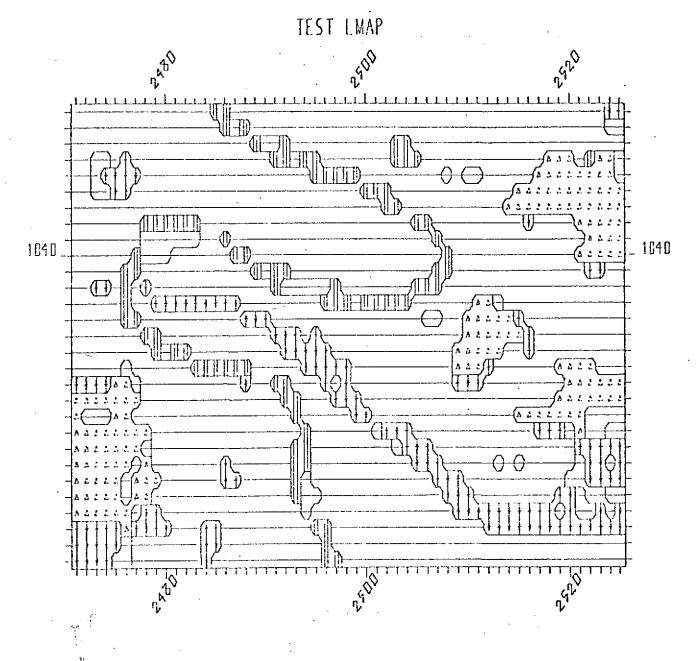
BEGINNING LINE 1030
ENDING LINE 1059
BEGINNING ELEMENT 2470
ENDING ELEMENT 2525
LINE INCREMENT 1
ELEMENT INCREMENT 1



SYMBOL M : STRIPMINE SYMBOL R : RIVER

SYMBOL > : HIGHWAY SYMBOL - : VEGETATION

Figure 10: Classification map using signatures obtained by DCLUS.



Δ: STRIPMINE

+: HIGHWAY

||: RIVER

-: VEGETATION

Figure 11: Classification map of the Clearfield area (LMAP).

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